

# A survey of the Haagerup property

Alain Valette

Nancy, 24 August 2015

Uffe HAAGERUP, 19 December 1949 - 5 July 2015



From Nigel Higson's website: *“Haagerup is a serious, hard analyst; if you give him an inequality and it's true, he'll prove it.”*

# 1 Affine isometric actions on Hilbert spaces

## 1.1 Motivations and definitions

$G$ : a locally compact group acting by isometries on a metric space  $(X, d)$ . Say that the action is (metrically) **proper** if

$$\lim_{g \rightarrow \infty} d(x, g.x) = +\infty$$

for every  $x \in X$

**Definition 1.1.** (*Gromov 1990*)  $G$  is **a-(T)-menable** if  $G$  admits a proper isometric action on a Hilbert space.

Recall:

**Theorem 1.2.** (*Delorme-Guichardet 1972*) A locally compact,  $\sigma$ -compact group  $G$  has Kazhdan's property (T) if and only if every isometric action on a (affine) Hilbert space has a globally fixed point.

The case of finite-dimensional Hilbert spaces is settled by Bieberbach's theorem (1910):

**Theorem 1.3.** *If  $G$  is a discrete group acting properly isometrically on Euclidean space  $\mathbb{E}^n$ , then  $G$  fits in a short exact sequence*

$$0 \rightarrow \mathbf{Z}^k \rightarrow G \rightarrow F \rightarrow 1,$$

where  $F$  is finite and  $k \leq n$ .

In infinite dimension, we get lots of extra stuff!

## 1.2 Amenable groups

**Theorem 1.4.** *(Bekka-Cherix-V. 1993)  $\sigma$ -finite amenable groups are  $a$ -( $T$ )-menable.*

**Proof** for  $G$  discrete. Set  $G = \cup_n S_n$ , an increasing union of finite subsets. By amenability, find a sequence  $(F_n)$  of Følner sets:

$$\frac{|S_n F_n \Delta F_n|}{|F_n|} < 2^{-n}.$$

Let  $\xi_n \in \ell^2(G)$  be the normalized characteristic function of  $F_n$ :

$$\xi_n(g) = \begin{cases} 0 & \text{if } g \notin F_n \\ \frac{1}{|F_n|^{1/2}} & \text{if } g \in F_n \end{cases}$$

Let  $\lambda$  be the left regular representation of  $G$  on  $\ell^2(G)$ . The isometric action

$$\alpha_n(g)(v) = \lambda(g)v + n(\xi_n - \lambda(g)\xi_n)$$

admits  $n\xi_n$  as globally fixed point (so it is not proper).

Idea: pile the  $\alpha_n$ 's up, so to move the fixed point to infinity.

On  $\bigoplus_{n>0} \ell^2(G)$ , define  $\alpha(g) = \bigoplus_{n>0} \alpha_n(g)$ , i.e.

$$\alpha(g)(\bigoplus_n v_n) = \bigoplus_n [\lambda(g)v_n + n(\xi_n - \lambda(g)\xi_n)]$$

This isometric action is well-defined as  $\sum_n n^2 \|\xi_n - \lambda(g)\xi_n\|^2 < \infty$  since  $\sum_n n^2 2^{-n} < \infty$ .

To check it is proper: fix  $R > 0$ , must prove that  $\{g \in G : \|\alpha(g)(0)\| \leq R\}$  is finite.

But  $\|\alpha(g)(0)\| \leq R$  implies  $n^2\|\xi_n - \lambda(g)\xi_n\|^2 \leq R^2$  for every  $n$ . Fix  $n \gg 0$  so that  $\frac{R^2}{n^2} < 2$ . Since  $\|\xi_n - \lambda(h)\xi_n\|^2 = 2$  when  $hF_n \cap F_n = \emptyset$ , this forces  $g \in F_n F_n^{-1}$ , a finite set.  $\square$

### 1.3 Construction of actions

**Definition 1.5.** (*Haglund-Paulin*) A **space with walls** is a pair  $(X, \mathcal{W})$  where  $X$  is a set and  $\mathcal{W}$  is a set of partitions of  $X$  into 2 classes, called **walls**, such that for every  $x, y \in X$  the number  $w(x, y)$  of walls separating  $x$  from  $y$ , is finite.

Observe that  $w(., .)$  defines a pseudo-metric on  $X$  - the **wall metric**. A group  $G$  of automorphisms of  $(X, \mathcal{W})$  acts properly if  $\lim_{g \rightarrow \infty} w(gx_0, x_0) = \infty$  for some (hence every)  $x_0 \in X$ .

**Example 1.** 1. *Trees: removing an edge disconnects the tree into 2 half-trees, so we view the set  $E$  of edges as a set of walls, for which  $w(x, y) = d(x, y)$  (combinatorial distance).*

2. *CAT(0) cube complexes: by a result of Sageev (1994) hyperplanes separate such a complex into 2 connected components. Moreover, for vertices  $x, y$  we have  $w(x, y) = d_1(x, y)$ , the combinatorial distance in the 1-skeleton.*

**Proposition 1.6.** *(Haglund-Paulin-V) Every group acting properly on a space with walls, is  $a$ -(T)-menable. (In particular, every group acting properly on a tree or on a CAT(0) cube complex, is  $a$ -(T)-menable).*

**Example 2.** *The following groups are  $a$ -(T)-menable:*

- *Free groups,  $SL_2(\mathbf{Z})$ ,  $SL_2(\mathbf{Q}_p)$ ...*
- *Coxeter groups (Bojczko-Januszkiewicz-Spatzier 1983)*
- *R. Thompson's groups  $F, T, V$  (Farley 2003)*
- *Groups satisfying the  $C'(1/6)$ -small cancellation condition (Wise 2004).*

**Proof of Proposition 1.6:** In a space with walls  $(X, \mathcal{W})$ , define a **half-space** as one class of the partition given by a wall. Let  $H$  be the set of half-spaces. For  $x \in X$ , let  $\chi_x$  be the characteristic function of the set of half-spaces through  $x$ . Observe that  $\chi_x - \chi_y$  has finite support, so belongs to  $\ell^2(H)$ . If  $G$  acts properly on  $X$ , let  $\pi$  be the permutation representation of  $G$  on  $\ell^2(H)$ , define an isometric action as:

$$\alpha(g)v = \pi(g)(v) + (\chi_{gx_0} - \chi_{x_0})$$

where  $x_0$  is some base-point in  $X$ . Then  $\|\alpha(g)(0)\|^2 = 2w(gx_0, x_0)$  so that this action  $\alpha$  is proper.  $\square$

## 2 Representation theory

Let  $G$  be a locally compact group, and  $\pi$  a unitary representation of  $G$  on a Hilbert space  $\mathcal{H}$ .

**Definition 2.1.** 1.  $\pi$  is  $C_0$  (or: **mixing**) if, for every  $\xi, \eta \in \mathcal{H}$  :  $\lim_{g \rightarrow \infty} \langle \pi(g)\xi | \eta \rangle = 0$ ;

2.  $\pi$  **almost has invariant vectors** if for every  $\epsilon > 0$  and every compact subset  $K \subset G$ , there exists a unit vector  $\xi \in \mathcal{H}$  such that

$$\max_{g \in K} \|\pi(g)\xi - \xi\| < \epsilon;$$

3.  $G$  has the **Haagerup property** if  $G$  admits a  $C_0$ -representation almost having invariant vectors.

**Remark 2.2.** *A finite-dimensional unitary representation  $\pi$  cannot be  $C_0$ . Suppose by contradiction it is  $C_0$ . From  $1 = |\det \pi(g)|$  we get:*

$$1 = \lim_{g \rightarrow \infty} |\det \pi(g)| = \lim_{g \rightarrow \infty} \left| \sum_{\sigma \in \text{Sym}(n)} \epsilon(\sigma) \prod_{i=1}^n \langle \pi(g)e_i | e_{\sigma(i)} \rangle \right| = 0,$$

where  $n = \dim \pi$ .

**Example 3.** • *Free groups have the Haagerup property (Haagerup 1979).*

- *For  $G$  locally compact group, the left regular representation  $\lambda$  on  $L^2(G)$  is always  $C_0$ ; and it has almost invariant vectors if and only if  $G$  is amenable. So amenable groups have the Haagerup property.*

**Theorem 2.3.** *A-(T)-amenable groups have the Haagerup property. For  $\sigma$ -compact groups, the converse is true.  $\square$*

The proof of the converse mimics the proof that amenable groups are a-(T)-amenable.

**Theorem 2.4.** *(Faraut-Harzallah 1971) The groups  $O(n, 1)$  and  $U(n, 1)$  (and all their closed subgroups) have the Haagerup property.  $\square$*

**Remark 2.5.** *For  $U(n, 1)$ , an open question is to find a direct, geometric proof of a-(T)-amenability.*

### 3 Why do we care?

#### 3.1 von Neumann algebras

The Haagerup property can be defined for tracial von Neumann algebras; for  $G$  discrete,  $G$  has the Haagerup property if and only if the group von Neumann algebra  $L(G)$  has the Haagerup property (Choda, Jolissaint).

### 3.2 Baum-Connes conjecture

**Theorem 3.1.** *(Higson-Kasparov 1997)  $A$ -( $T$ )-menable groups satisfy the Baum-Connes conjecture, i.e. the assembly map  $\mu_G : K_i^G(\underline{EG}) \rightarrow K_i(C_r^*(G))$  ( $i = 0, 1$ ) is an isomorphism.*

Here:

- $\underline{EG}$  is the classifying space for proper actions of  $G$ ;
- $K_i^G(\cdot)$  is  $G$ -equivariant  $K$ -homology;
- $C_r^*(G)$  is the reduced  $C^*$ -algebra of  $G$  (=norm closure of  $\lambda(L^1(G))$ );
- $K_i(\cdot)$  is analytical  $K$ -theory for Banach algebras.

Recall that the Baum-Connes conjecture for discrete group  $G$ , implies two famous conjectures:

- (Kaplansky-Kadison conjecture) For  $G$  torsion-free,  $C_r^*(G)$  has no non-trivial idempotent.
- (Novikov conjecture) The higher signatures are homotopy invariant on smooth manifolds with fundamental group  $G$ .

## 4 Other characterizations

### 4.1 Infinite-dimensional hyperbolic spaces

**Proposition 4.1.** *(Gromov) Let  $G$  be a second countable, locally compact group. TFAE:*

1.  $G$  is  $a$ -( $T$ )-menable;
2.  $G$  admits a proper isometric action on the infinite-dimensional real hyperbolic space  $\mathbb{H}^\infty(\mathbb{R})$ ;
3.  $G$  admits a proper isometric action on the infinite-dimensional complex hyperbolic space  $\mathbb{H}^\infty(\mathbb{C})$ .

## 4.2 Spaces with measured walls

Consider  $X_n = \mathbb{H}^n(\mathbb{R})$ ,  $n$ -dimensional real hyperbolic space. The isometry group  $O(n, 1)$  acts transitively on the set  $\mathcal{H}$  of hyperplanes, that carries an  $O(n, 1)$ -invariant measure  $\mu$ . For  $a, b \in X_n$ , any hyperplane  $H$  intersects the segment  $[a, b]$  in at most one point, and by **Crofton's formula** we have:

$$\int_{\mathcal{H}} |H \cap [a, b]| d\mu(H) = \lambda d(a, b),$$

where  $\lambda > 0$  only depends on the normalization of  $\mu$ .

Since hyperplanes separate  $X_n$  into two connected components, it is clear that there is a “continuous” version of spaces with walls underlying this example.

**Definition 4.2.** (*Cherix-Martin-V. 2003*) **A space with measured walls** is a 4-tuple  $(X, \mathcal{W}, \mathcal{B}, \mu)$  where  $X$  is a set,  $\mathcal{W}$  is a collection of walls on  $X$ ,  $\mathcal{B}$  is a  $\sigma$ -algebra of sets on  $\mathcal{W}$  such that, for every  $x, y \in X$ , the set  $W(x|y)$  of walls separating  $x$  from  $y$  belongs to  $\mathcal{B}$ , and  $\mu$  is a measure on  $\mathcal{B}$  such that  $w(x, y) =: \mu(W(x|y)) < \infty$ .

**Example 4.** • *Spaces with walls (with counting measure)*

- *$n$ -dimensional real hyperbolic space*
- *Real trees (with the measure that gives its length to a segment)*

As for discrete spaces with walls: proper action on a space with measured walls  $\Rightarrow$   $a$ -( $T$ )-menability. But now there is a converse.

**Theorem 4.3.** (*Chatterji-Drutu-Haglund 2010*) *Let  $G$  be a second countable, locally compact group. TFAE:*

1.  $G$  is  $a$ -( $T$ )-menable;
2.  $G$  admits a proper action on a space with measured walls;
3.  $G$  admits a proper isometric action on some subset of  $L^p$ , for some  $p \in [1, 2]$ .

The key concept behind that result is median spaces.

**Definition 4.4.** *A metric space  $(X, d)$  is a **median space** if, for every  $a, b, c \in X$ , there exists a unique median point  $m = m(a, b, c)$ , i.e. a unique point  $m \in X$  such that  $d(a, m) + d(m, b) = d(a, b)$ , and similarly for  $\{b, c\}$  and  $\{c, a\}$ .*

**Example 5.** • *Trees*;

•  $\mathbb{R}^n$  with the  $\ell^1$ -norm.

•  $L^1$

**Theorem 4.5.** (CDH 2010)

1. *Any space with measured walls embeds isometrically into a (canonical) median space.*
2. *Any median space has a canonical structure of space with measured walls.*
3. *Any median space embeds isometrically into  $L^1$ .*

## 5 Permanence properties

A-(T)-menability is preserved under the following group constructions:

- Closed subgroups.
- Finite direct products.
- Increasing union of countably many open subgroups.

For discrete groups, a-(T)-menability is preserved under free products, or amalgamated products over finite groups. More generally:

**Proposition 5.1.** *(Jolissaint-Julg-V. 2001) Let  $G$  be a countable group acting without inversion on a tree, with **finite** edge stabilizers.  $G$  is a-(T)-menable if and only if every vertex stabilizer is a-(T)-menable.*

Main defect of the Haagerup property: **not** stable under semi-direct products!

**Definition 5.2.** *Let  $H$  be a closed subgroup of the locally compact group  $G$ . The pair  $(G, H)$  has the **relative property (T)** if every isometric  $G$ -action on a Hilbert space has an  $H$ -fixed point.*

Clearly: if  $G$  is a-(T)-menable and  $(G, H)$  has relative property (T), then  $H$  is compact.

**Theorem 5.3.** *(Kazhdan 1967) The pairs  $(\mathbf{Z}^2 \rtimes SL_2(\mathbf{Z}), \mathbf{Z}^2)$  and  $(\mathbf{R}^2 \rtimes SL_2(\mathbf{R}), \mathbf{R}^2)$  have the relative property (T).*

Observe that  $\mathbf{Z}^2, SL_2(\mathbf{Z}), \mathbf{R}^2, SL_2(\mathbf{R})$  are a-(T)-menable!

There are however some positive results.

**Proposition 5.4.** (*JJV 2001*) *Let  $H$  be a closed subgroup of  $G$ , with  $H$  co-Følner in  $G$  (i.e. the quasi-regular representation  $\rho$  on  $L^2(G/H)$  almost has invariant vectors). If  $H$  has the Haagerup property, then so does  $G$ .*

**Sketch of proof:** Let  $\pi$  be a  $C_0$ -representation of  $H$  almost having invariant vectors. Induce it up to  $G$ : the representation  $\text{Ind}_H^G \pi$  is still  $C_0$  (Bekka), and weakly contains  $\text{Ind}_H^G 1_H = \rho$ . Now  $\rho$  weakly contains  $1_G$ , so by transitivity of weak containment  $\text{Ind}_H^G \pi$  almost has invariant vectors.  $\square$

**Corollary 5.5.** *Let  $N \triangleleft G$  be a closed normal subgroup. If  $N$  has the Haagerup property and  $G/N$  is amenable, then  $G$  has the Haagerup property.*

**Remark 5.6.** *It is an open question to prove directly the above results for  $a$ -( $T$ )-menability.*

Open problem: If  $G$  acts on  $W$  by automorphisms, when is  $W \rtimes G$   $a$ -( $T$ )-menable? (Of course,  $W$  and  $G$  must be  $a$ -( $T$ )-menable!)

**Example 6.** *Let  $G, H$  be discrete  $a$ -( $T$ )-menable; let  $W = \star_G H$  be the free product of copies of  $H$  indexed by  $G$ , with  $G$  acting by shifting indices. Then  $W \rtimes G$  is  $a$ -( $T$ )-menable, as  $W \rtimes G \simeq H \star G$ .*

Replace now free product by direct sum: set  $W = \bigoplus_G H$ ; then  $W \rtimes G =: H \wr G$ , the **wreath product** of  $H$  and  $G$ .

**Theorem 5.7.** *(Cornuier-Stalder-V. 2009) If  $G, H$  are discrete,  $a$ -( $T$ )-menable groups, then  $H \wr G$  is  $a$ -( $T$ )-menable.*

The situation is more subtle for **permutational wreath products**  $H \wr_Q G =: (\bigoplus_Q H) \rtimes G$ , where  $Q$  is a quotient of  $G$ . We conjectured in CSV that, if  $H, G$  are  $a$ -( $T$ )-menable, then so is  $H \wr_Q G$ . This was false!

**Theorem 5.8.** *(Chifan-Ioana 2011) Let  $H, G, Q$  be countable groups, with  $H$  non-trivial and  $Q$  a quotient of  $G$ . The permutational wreath product  $H \wr_Q G$  is  $a$ -( $T$ )-menable if and only if  $H, G$  and  $Q$  are.*

**Example 7.** *View  $SL_3(\mathbf{Z})$  as a quotient of the free group  $\mathbb{F}_2$ . Then  $\mathbf{Z} \wr_{SL_3(\mathbf{Z})} \mathbb{F}_2$  is not  $a$ -( $T$ )-menable.*